

# Higher inductive types

① Recall:

→ property vs. structure

↪  $f: X \rightarrow Y$

$$\text{isiso}(f) := \sum_{g: Y \rightarrow X} (f \circ g = \text{id}_Y \times g \circ f = \text{id}_X)$$

→ equivalence

$$\text{isequiv}(f) := \text{TT}(y: Y) . \text{iscontr}(\underbrace{\text{hfib}(f, y)}_{\text{hfib}(f, y) := \sum_{x: X} f^x =_Y y})$$

$$\text{isprop}(\text{isequiv}(f))$$

$$X \simeq Y := \sum_{f: X \rightarrow Y} \text{isequiv}(f)$$

→ bi-invertible

$$\text{isbiinv}(f) := \left( \sum_{g: Y \rightarrow X} (f \circ g = \text{id}_Y \times (\sum_{h: Y \rightarrow X} h \circ f = \text{id}_Y)) \right)$$

$$\text{isprop}(\text{isbiinv}(f)) \quad \checkmark$$

$$\text{isbiinv}(f) \simeq \text{isequiv}(f)$$

→ half-adjoint equivalence (HoTT book)

## Example : Homotopy pullbacks

Usual pullback:

$$\begin{array}{ccc} Q & \xrightarrow{u} & X \\ r \searrow & \downarrow p & \downarrow p_1 \\ v \swarrow & \downarrow p_2 = \alpha & \downarrow f \\ Y & \xrightarrow{g} & Z \end{array}$$

$(P, p_1, p_2, \alpha)$  such that

$\forall$  such  $(Q, u, v, \beta)$ ,  $\exists! r$ . -----

$\exists!$  such  $(Q, v, u, \beta)$ . is contr (-----) ?

Homotopy pullback: given  $f: X \rightarrow t$   
 $g: Y \rightarrow z$

$$\begin{array}{ccc} Q & \xrightarrow{u} & t \\ v \downarrow & \Rightarrow & \downarrow f \\ \downarrow g & & \end{array}$$

$$Sq(f, g) := \sum (Q: u)(u: Q \rightarrow X)(v: Q \rightarrow Y). f \circ u = g \circ v$$

$$\begin{array}{ccc} R & \xrightarrow{u_{or}} & t \\ r \searrow & \downarrow v_{or} & \downarrow g \\ Q & \xrightarrow{u} & z \\ v \searrow & \downarrow \beta & \downarrow \\ Y & \xrightarrow{f} & Z \end{array} (*)$$

$$\text{whisher: } \prod (R: u)((Q, u, v, \beta): Sq(f, g))(r: R \rightarrow Q). Sq(f, g)$$

$$\text{whisher } (R, Q, u, v, \beta, r) := (R, u_{or}, v_{or}, \beta')$$

$\hookrightarrow \beta'$ : patch together  $(*)$

$(P, p_1, p_2, \alpha)$ :  $Sq(f, g)$  is a pullback when the map

$$\text{whisher}(R, P, p_1, p_2, \alpha, -): (R \rightarrow P) \rightarrow Sq(f, g)$$

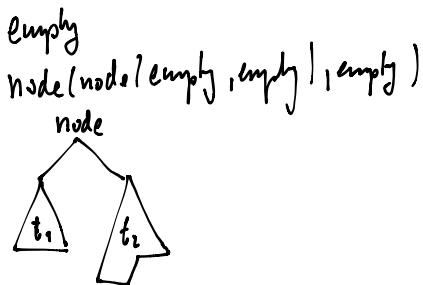
is an equivalence, for all  $R$ .

## ② Inductive types

(General theory: W-types, well-founded trees)

Trees (binary):

- Tree type "binary trees"
- empty: Tree
- node: Tree  $\times$  Tree  $\rightarrow$  Tree



- Induction principle :

Given:  $P : \text{Tree} \rightarrow \mathcal{U}$

$$\text{ind}_{\text{Tree}}^P : P_{\text{empty}} \times \left( \prod_{t_1, t_2 : \text{Tree}} P_{t_1} \times P_{t_2} \rightarrow P(\text{node}(t_1, t_2)) \right) \rightarrow \prod_{t : \text{Tree}} P_t$$

- equations:

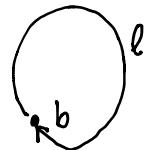
$$\text{ind}_{\text{Tree}}^P (u, f, \text{empty}) \equiv u$$

$$\begin{aligned} \text{ind}_{\text{Tree}}^P (u, f, \text{node}(t_1, t_2)) &\equiv f(t_1, t_2, \\ &\quad \text{ind}_{\text{Tree}}^P (u, f, t_1), \\ &\quad \text{ind}_{\text{Tree}}^P (u, f, t_2)) \end{aligned}$$

### ③ Higher inductive types

Example: the circle

- $S^1$  type "the circle"
- point constructors:  
 $b : S^1$  "the base point"
- path constructors:  
 $\ell : b =_{S^1} b$  "the loop"
- induction principle?



Preparation:

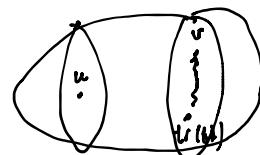
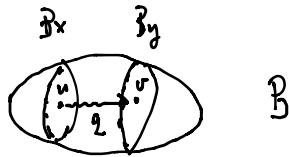
1) Path over path:

have:  $B : A \rightarrow U$

$$x, y : A, \quad u : B_x, \quad v : B_y$$

$$p : x =_A y$$

Want the space of "paths over  $p$  from  $u$  to  $v$ ":

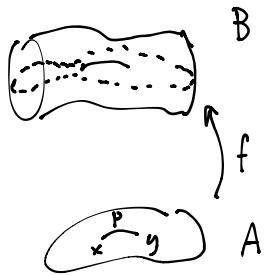


$$u =_p^B v := \text{transport}^B(p, u) =_{B_y}^N v$$

$$\simeq u =_{B_x} \text{transport}^{\bar{B}}(\bar{p}, v)$$

2) Dependent application:

$$f : \prod(x:A) B(x)$$



$$\text{Given } p : x =_A y$$

$$\text{Want } f(p) : f_x =_B^p f_y$$

$$\prod(x,y:A) (p : x =_A y). f_x =_p^B f_y$$

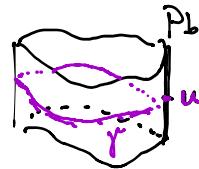
Path induction!

$$\prod(z:A). f_z =_{\text{refl}_z}^B f_z$$

$$\lambda z. \text{refl}_{f_z}$$

Induction principle for  $S^1$ :

$$P : S^1 \rightarrow \mathcal{U}$$



$$\text{ind}^P : \prod(u:Pb). u =_P^P u \rightarrow \prod(z:S^1). P_z$$



Equations:

$$\text{ind}^P(u, r, b) \equiv u$$

$$\underbrace{\text{ind}^P(u, r, l)}_{\text{apd(ind}^P(u, r, -))}(l) \equiv r$$

$$\text{apd(ind}^P(u, r, -))(l)$$

Example: Propositional truncation

Given  $A : \mathcal{U}$ , we have:

$$\begin{array}{c} A \xrightarrow{\text{1-1}} \|\mathcal{A}\|_{\sim}, \\ a \mapsto [a] \end{array}$$

- $\|\mathcal{A}\|_{\sim}$ , type

- point constructors:

$$[ ] : A \rightarrow \|\mathcal{A}\|_{\sim}, \quad \frac{a : A}{[a] : \|\mathcal{A}\|_{\sim}}$$

- path constructors:

$$p : \prod (\alpha, \beta : \|\mathcal{A}\|_{\sim}). \alpha =_{\|\mathcal{A}\|_{\sim}} \beta$$

- induction principle:

$$P : \|\mathcal{A}\| \rightarrow \mathcal{U}$$

$$\begin{aligned} \text{ind}^P : & \left( \prod (x : A), P([x]) \right) \rightarrow \\ & \left( \prod (\alpha, \beta : \|\mathcal{A}\|_{\sim}) (u : P_{\alpha}) (v : P_{\beta}), u =_{\underset{p(\alpha, \beta)}{P}} v \right) \rightarrow \\ & \prod (\xi : \|\mathcal{A}\|_{\sim}), P\xi. \end{aligned}$$

+ equations

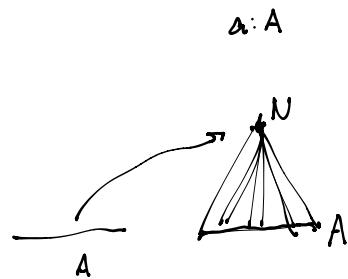
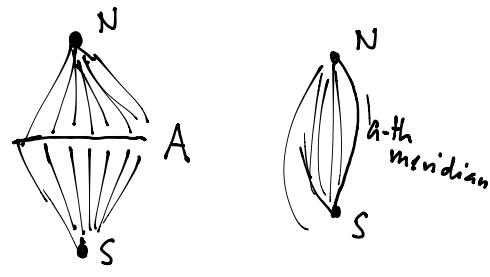
## Example: Suspension of A

- $\sum A$  type
- point constructors:
  - $N : \sum A$
  - $S : \sum A$
- path constructors:
  - $m : A \rightarrow N = \sum_{\sum A} S$

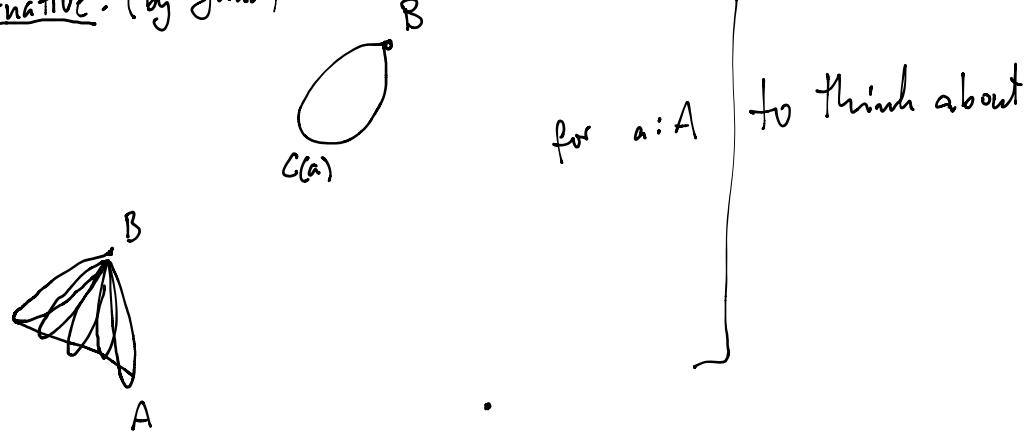
• induction principle :

$$P : \sum A \rightarrow U$$

"given a lifting of  $N, S$  and all the meridians,  
we get a section of  $P$ ,"

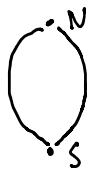


## Alternative: (by Jaha)



$$\sum O \simeq 2$$

$$\sum^1 (\sum_0) \cong \sum^2 \cong S^1$$



$$\sum S^1 \cong S^2 ?$$

$$S^2 :$$

point:  $b : S^2$  base

path: Surf :  $\text{refl}_b = \downarrow \text{refl } b$   
 $b = b$